



# FINANCIAL INTELLIGENCE AUTHORITY

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Castries  
St. Lucia, W.I.

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**Circular No. 005/2023**

**Date: June 26, 2023**

**To: Accountants  
Attorneys at Law  
Dealers in Precious Metals or Stones  
Motor Dealers  
Real Estate Agents**

**Re: Application for Registration with the Financial Intelligence Authority**

Persons (individual or body corporate) engaged in the following categories of Other Business Activity are reminded of the requirement to register with the Financial Intelligence Authority (the Authority) in accordance Section 4 of the Registration of Supervised Entities Act, No. 12 of 2023 (the Act).

- A Motor Dealer licensed under the Motor Vehicles and Road Traffic Act, Cap. 8.01.
- A person involved in transactions for a client concerning the buying and selling of real estate.
- A dealer in precious metals or stones, when engaging in any transactions equal to or exceeding \$25,000.
- Attorneys-at-law when they carry out transactions for a client in relation to the following activities —
  - buying and selling real estate;
  - creating, operating or managing companies;
  - managing bank, savings or securities accounts;
  - managing client's money, securities or other assets; or
  - raising contributions for the creation, operation or management of companies.

- Accountants when they carry out transactions for a client in relation to the following activities —
  - buying and selling real estate;
  - creating, operating or managing companies;
  - managing bank, savings or securities accounts;
  - managing client’s money, securities or other assets; or
  - raising contributions for the creation, operation or management of companies.

Please be advised that the Authority will begin accepting applications on June 30, 2023. All applications should be emailed to [registration@slufia.org](mailto:registration@slufia.org) and must include the following:

1. A completed and signed application for registration form,
2. A completed and signed Declaration of Compliance or Non-compliance
3. The incorporation or registration documents of the person engaged in other business activity, for example, the Certificate of Incorporation, Certificate of Registration of a Business Name
4. The filed corporate documents of the person engaged in other business activity, including a notice of directors, beneficial owners and shareholders and relevant registers
5. A certified copy of the licence, practising certificate or other professional registration document of the person engaged in other business activity (where applicable)
6. A copy of the Compliance Manual
7. A Certificate of Good Standing (if applicable)
8. A certified copy of a government issued ID in respect of each individual listed in sections 16 – 19 of the application form.

For more information, you can contact the Supervision Unit of the Financial Intelligence Authority by phone (451-9073) or email [registration@slufia.org](mailto:registration@slufia.org).

Please be guided accordingly.

Yours Sincerely,

  
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**Paul Thompson**  
**Director**