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| **S/A Ref:**  |
| **Reporting Entity Ref:**  |
| **Date (DD/MM/YY):**  |

**FINANCIAL INTELLIGENCE AUTHORITY**

Suspicious Activity Report

## (In accordance with the Money Laundering (Prevention) Act)

**FINANCIAL INSTITUTION OR PERSON ENGAGED IN OTHER BUSINESS ACTIVITY**

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| 1. Name of financial institution or person engaged in other business activity:
 |
| 1. Address of financial institution or person engaged in other business activity:
 |
| 1. Telephone Number:
 | 1. Fax Number:
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**PARTICUALRS OF SUBJECT**

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| 1. Name (full name of person, business or company):
 |
| 1. Address:
 |
| 1. Date of Birth/Date of Incorporation:

(DD/MM/YY) |
| 1. Occupation/Nature of Business:
 |
| 1. Employer:
 |
| 1. Telephone Number – Business
 |
| 1. Telephone Number – Residence
 |
| 1. Form(s) of identification produced by subject (attach copies)
 |
| 1. Subject’s relationship with the financial institution or person engaged in other business activity
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| 1. Is subject employed by the financial institution or person engaged in other business activity? (YES/NO, if “Yes” give details)
 |
| 1. Other relevant information (please include details of identification or references taken, associated parties, addresses, telephone numbers, etc.)
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| 1. If this report is linked to other reports, please provide details:
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SUSPICIOUS TRANSACTION REPORT

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| 1. Reasons for suspicion:
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| 1. Signed by (name of person compiling report):
 | 1. Contact Name (Reporting Officer/Compliance Officer, where applicable):
 |
| 1. Telephone Number:
 | 1. Fax Number:
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Notes: 1. Please complete a separate form in respect of each subject person, company or business.

 2. If you have any questions regarding the completion of this form, please telephone (758) 451-7126

Completed forms and associated documentation should be forwarded without delay to:

 The Director

 Financial Intelligence Authority

 PO Box GM 959

 Castries, Saint Lucia.

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| UNAUTHORISED DISCLOSURE OF THIS INFORMATION TO THE SUBJECT OR ANY OTHER PERSON IS A CRIMINAL OFFENCE WHICH CARRIES A PENALTY OF UP TO XCD 250,000 OR IMPRISONMENT OF UP TO TEN YEARS |